# Perturbation theory schemes for analysis of spheroidal quantum dot models in adiabatic approximation 

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## OUTLINE

- Problems. In effective mass approximation for electronic (hole) states of spheroidal quantum dots under influence of the homogeneous electric field the boundary-value problems are formulated in the framework of Kantorovich and adiabatic methods.
- Methods. The different perturbation theory schemes are derived by using sets of adiabatic basis functions given in analytical form.
- Results. Comparative analysis of eigenvalues and eigenfunctions of the problem is presented based on both numerical and analytical methods.
- Applications Calculations of absorption coefficient of ensembles of spheroidal quantum dots in the homogeneous electric fields.


## Problem

Spectral and optical characteristics of models of bulk semiconductor and low dimensional semiconductor nanostructures: quantum wells(QWs), quantum wires( QWrs ) and quantum $\operatorname{dots(QDs)~}$


(b)


Figure 1. AFM views of $L$ LPE grown InAsSbP unencapsulated QDs on $\operatorname{InAs}(100)$ substrate: (a) oblique $S=2 \times 2 \mu \mathrm{~m}^{2}$. (b) oblique $S=1 \times 1 \mu \mathrm{~m}^{2}$, $(c)$ oblique $S=500 \times 500 \mathrm{~nm}^{2}$ and $(d)$ plane.
from K.M. Gambaryan et al J. Phys. D 41, 162004 (2008)

Application of Quantum dots:
High performance transistors and lasers
Quantum dot technology is one of the most promising candidates for use in solid-state quantum computation.

## Setting equations



Fig. 1 (a) Strongly oblate ellipsoidal quantum dot. (b) Strongly prolate ellipsoidal quantum dot
b)

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In the effective mass approximation of the $\overrightarrow{\boldsymbol{k}} \cdot \overrightarrow{\boldsymbol{p}}$ theory the Schrödinger equation for the slow varying envelope function $\Psi(\vec{r}) \equiv \Psi^{e(h)}(\vec{r})$ of an impurity electron (e) or hole (h) under the influence of a uniform magnetic field $\overrightarrow{\boldsymbol{H}}$ with vector-potential $\vec{A}=\frac{1}{2} \overrightarrow{\boldsymbol{H}} \times \vec{r}$ and electric field $\overrightarrow{\boldsymbol{F}}$ in QD, QW, or QWr reads as

$$
\left\{\frac{1}{2 \mu}\left(\hat{\vec{p}}-\frac{q_{1}}{c} \vec{A}\right)^{2}+q_{1}(\vec{F} \cdot \vec{r})+U_{c o n f}(\vec{r})-\frac{q}{\kappa|\vec{r}|}-E\right\} \Psi(\vec{r})=0
$$

Here $\overrightarrow{\boldsymbol{r}}$ is the radius-vector, $|\vec{r}|=\sqrt{\boldsymbol{x}^{2}+\boldsymbol{y}^{2}+\boldsymbol{z}^{2}}$,
$\boldsymbol{q}=\boldsymbol{q}_{1} \boldsymbol{q}_{2} \boldsymbol{e}$, where $\boldsymbol{q}_{1}= \pm \boldsymbol{e}$ and $\boldsymbol{q}_{2} \boldsymbol{e}$ are the Coulomb charges of the electron (hole) and the impurity center, $\boldsymbol{\kappa}$ is the dc permittivity,
$U_{\text {conf }}(\vec{r})$ is infinite or finite (Woods-Saxon) well confinement potential
$\boldsymbol{\mu}=\boldsymbol{\beta} \boldsymbol{m}_{\boldsymbol{e}}$ is the effective mass of the electron or hole and reduced atomic units (for example, in GaAs $q=1, \kappa=13.18, \beta_{e}=0,067, \beta_{h}=\beta_{e} / 0.12$ ), $a_{e}=\left(\kappa / \beta_{e}\right) a_{B}=102 \AA, E_{e}=\left(\beta_{e} / \kappa^{2}\right) R y=5.2 \mathrm{meV}, a_{h}=15 \AA$, $E_{h}=\left(\beta_{h} / \kappa^{2}\right) R y=49 \mathrm{meV}, \gamma=H / H_{0}^{*}, H_{0}^{*}=6 \mathrm{~T}, \gamma_{F}=F / F_{0}^{*}$, $\left.F_{0}=133 \mathrm{kV} / \mathrm{cm}\right)$.

Fast and slow variables for QD, QWr and QW models


Systems of cylindrical $(\boldsymbol{z}, \boldsymbol{\rho}, \boldsymbol{\varphi})$ and spherical $\square \quad$ CC $\quad$ SC $(r, \eta=\cos \theta, \varphi)$ coordinates(at shift $\left.\boldsymbol{z}_{c}=0\right)$ : (a) for QD, QWr and (a) for QD, QW and their correspondence to fast $\boldsymbol{x}_{\boldsymbol{f}}$ and slow $\boldsymbol{x}_{\boldsymbol{s}}$ variables. Comment. One can see that in cylindrical coordinates: a) for QD, $\mathrm{QWr} \boldsymbol{x}_{f}=\rho, \boldsymbol{x}_{\boldsymbol{s}}=\boldsymbol{z}$, b) for QD, QW $\boldsymbol{x}_{\boldsymbol{f}}=\boldsymbol{z}, \boldsymbol{x}_{\boldsymbol{s}}=\boldsymbol{\rho}$, i.e. fast and slow variables are changed places. In spherical coordinates for QD, QW and QWr fast $\boldsymbol{x}_{\boldsymbol{f}}=\boldsymbol{\eta}$ and slow $\boldsymbol{x}_{s}=\boldsymbol{r}$ variables are the same.

|  | OSQD | PSQD | SQD |
| :---: | :---: | :---: | :---: |
| $\boldsymbol{x}_{f}$ | $\boldsymbol{z}$ | $\rho$ | $\boldsymbol{\eta}$ |
| $\boldsymbol{x}_{s}$ | $\rho$ | $z$ | $\boldsymbol{r}$ |
| $\boldsymbol{g}_{1 f}$ | 1 | $\rho$ | 1 |
| $\boldsymbol{g}_{2 f}$ | 1 | $\rho$ | $1-\eta^{2}$ |
| $\boldsymbol{g}_{1 s}$ | $\rho$ | 1 | $\boldsymbol{r}^{2}$ |
| $\boldsymbol{g}_{2 s}$ | $\rho$ | 1 | $\boldsymbol{r}^{2}$ |
| $\boldsymbol{g}_{3 s}$ | 1 | 1 | $\boldsymbol{r}^{2}$ |

## Close-coupling and Kantorovich (Adiabatic) methods

The Schrödinger equation reads as
$\left(\frac{1}{g_{3 s}\left(x_{s}\right)} \hat{H}_{2}\left(x_{f} ; x_{s}\right)+\hat{H}_{1}\left(x_{s}\right)+\hat{V}_{f s}\left(x_{f}, x_{s}\right)-2 E\right) \Psi\left(x_{f}, x_{s}\right)=0$,
$\hat{H}_{2}=-\frac{1}{g_{1 f}\left(x_{f}\right)} \frac{\partial}{\partial x_{f}} g_{2 f}\left(x_{f}\right) \frac{\partial}{\partial x_{f}}+\hat{V}_{f}\left(x_{f} ; x_{s}\right)$,
$\hat{H}_{1}=-\frac{1}{g_{1 s}\left(x_{s}\right)} \frac{\partial}{\partial x_{s}} g_{2 s}\left(x_{s}\right) \frac{\partial}{\partial x_{s}}+\hat{V}_{s}\left(x_{s}\right)$.
$\hat{\boldsymbol{H}}_{2}\left(\boldsymbol{x}_{\boldsymbol{f}} ; \boldsymbol{x}_{\boldsymbol{s}}\right)$ is the Hamiltonian of the fast subsystem,
$\hat{\boldsymbol{H}}_{1}\left(\boldsymbol{x}_{s}\right)$ is the Hamiltonian of the slow subsystem,
$V_{f s}\left(x_{f}, x_{s}\right)$ is interaction potential.
The Kantorovich expansion of the desired solution of BVP:
$\Psi\left(x_{f}, x_{s}\right)=\sum_{j=1}^{j_{\text {max }}} \Phi_{j}\left(x_{f} ; x_{s}\right) \chi_{j}\left(x_{s}\right)$.

## BVP for fast subsystem

The equation for the basis functions of the fast variable $\boldsymbol{x}_{\boldsymbol{f}}$ and the potential curves, $\boldsymbol{E}_{\boldsymbol{i}}\left(\boldsymbol{x}_{\boldsymbol{s}}\right)$ continuously depend on the slow variable $\boldsymbol{x}_{\boldsymbol{s}}$ as a parameter

$$
\left\{\hat{H}_{2}\left(x_{f} ; x_{s}\right)-E_{i}\left(x_{s}\right)\right\} \Phi_{i}\left(x_{f} ; x_{s}\right)=0
$$

The boundary conditions at $x_{f}^{b}\left(x_{s}\right), b=\min , \max$
$\lim _{x_{f} \rightarrow x_{f}^{b}\left(x_{s}\right)}\left(N_{f}\left(x_{s}\right) g_{2 f}\left(x_{s}\right) \frac{d \Phi_{j}\left(x_{f} ; x_{s}\right)}{d x_{f}}+D_{f}\left(x_{s}\right) \Phi_{j}\left(x_{f} ; x_{s}\right)\right)=0$.
The normalization condition

$$
x_{f}^{\text {max }}\left(x_{s}\right)
$$

$\left\langle\Phi_{i} \mid \Phi_{j}\right\rangle=\int_{x_{f}^{\min }\left(x_{s}\right)} \Phi_{i}\left(x_{f} ; x_{s}\right) \Phi_{j}\left(x_{f} ; x_{s}\right) g_{1 f}\left(x_{f}\right) d x_{f}=\delta_{i j}$.

## BVP for slow subsystem

The effective potential matrices of dimension $j_{\text {max }} \times \boldsymbol{j}_{\text {max }}$ :

$$
\begin{aligned}
U_{i j}\left(x_{s}\right) & =\frac{1}{g_{3 s}\left(x_{s}\right)} \hat{E}_{i}\left(x_{s}\right) \delta_{i j}+\frac{g_{2 s}\left(x_{s}\right)}{g_{1 s}\left(x_{s}\right)} W_{i j}\left(x_{s}\right)+V_{i j}\left(x_{s}\right), \\
V_{i j}\left(x_{s}\right) & =\int_{x_{f}^{\max }\left(x_{s}\right)}^{x_{f}^{\min }\left(x_{s}\right)} \Phi_{i}\left(x_{f} ; x_{s}\right) V_{f s}\left(x_{f}, x_{s}\right) \Phi_{j}\left(x_{f} ; x_{s}\right) g_{1 f}\left(x_{f}\right) d x_{f}, \\
W_{i j}\left(x_{s}\right) & =\int_{x_{f}^{\min }\left(x_{s}\right)}^{x_{f}^{\max }\left(x_{s}\right)} \frac{\partial \Phi_{i}\left(x_{f} ; x_{s}\right)}{\partial x_{s}} \frac{\partial \Phi_{j}\left(x_{f} ; x_{s}\right)}{\partial x_{s}} g_{1 f}\left(x_{f}\right) d x_{f} \\
Q_{i j}\left(x_{s}\right) & =-\int_{x_{f}^{\min }\left(x_{s}\right)}^{x_{f}^{\max }\left(x_{s}\right)} \Phi_{i}\left(x_{f} ; x_{s}\right) \frac{\partial \Phi_{j}\left(x_{f} ; x_{s}\right)}{\partial x_{s}} g_{1 f}\left(x_{f}\right) d x_{f}
\end{aligned}
$$

## BVP for slow subsystem

The SDE for the slow subsystem (the adiabatic approximation is a diagonal approximation for the set of ODEs)

$$
\begin{aligned}
& \mathrm{H} \chi^{(i)}\left(x_{s}\right)=2 E_{i} \mathrm{I} \chi^{(i)}\left(x_{s}\right), \\
& \mathrm{H}=-\frac{1}{g_{1 s}\left(x_{s}\right)} \mathbf{I} \frac{d}{d x_{s}} g_{2 s}\left(x_{s}\right) \frac{d}{d x_{s}}+\hat{V}_{s}\left(x_{s}\right) \mathrm{I}+\mathrm{U}\left(x_{s}\right) \\
&+\frac{g_{2 s}\left(x_{s}\right)}{g_{1 s}\left(x_{s}\right)} \mathrm{Q}\left(x_{s}\right) \frac{d}{d x_{s}}+\frac{1}{g_{1 s}\left(x_{s}\right)} \frac{d g_{2 s}\left(x_{s}\right) \mathrm{Q}(z)}{d x_{s}}
\end{aligned}
$$

with the boundary conditions at $x_{s}^{b}, \boldsymbol{b}=\min , \max$

$$
\lim _{x_{s} \rightarrow x_{s}^{b}}\left(N_{s} g_{2 s}\left(x_{s}\right) \frac{d \chi\left(x_{s}\right)}{d x_{s}}+D_{s} \chi\left(x_{s}\right)\right)=0 .
$$

## Basis functions and effective potentials

For oblate spheroidal QDs $\left(x_{f}=\boldsymbol{z}, \boldsymbol{x}_{s}=\boldsymbol{\rho}\right)$ with impenetrable walls

$$
\begin{aligned}
& B_{i}\left(x_{f} ; x_{s}\right)=B_{i}^{\sigma}\left(x_{f} ; x_{s}\right)=\sqrt{\frac{a}{c \sqrt{a^{2}-x_{s}^{2}}}} \sin \left(\frac{\pi n_{o}}{2}\left(\frac{x_{f}}{c \sqrt{1-x_{s}^{2} / a^{2}}}-1\right)\right), \\
& E_{i}\left(x_{s}\right)=E_{i}^{\sigma}\left(x_{s}\right)=E_{i ; 0} \frac{a^{2}}{\left(a^{2}-x_{s}^{2}\right)}, \quad E_{i ; 0}=\frac{\pi^{2} i^{2}}{4 c^{2}}, \quad U_{i i}\left(x_{s}\right)=0, \\
& U_{i j}\left(x_{s}\right)=U_{i j ; 0}\left(x_{s}\right) \frac{\sqrt{a^{2}-x_{s}^{2}}}{a}, \quad U_{i j ; 0}\left(x_{s}\right)=\frac{8 \gamma_{F} c i j\left(-1+(-1)^{i+j}\right)}{\left(i^{2}-j^{2}\right)^{2} \pi^{2}}, \\
& H_{i i}\left(x_{s}\right)=H_{i i ; 0}\left(x_{s}\right) \frac{a^{2} x_{s}^{2}}{\left(a^{2}-x_{s}^{2}\right)^{2}}, \quad H_{i i ; 0}\left(x_{s}\right)=\frac{3+\pi^{2} i^{2}}{12 a^{2}}, \\
& H_{i j}\left(x_{s}\right)=H_{i j ; 0}\left(x_{s}\right) \frac{a^{2} x_{s}^{2}}{\left(a^{2}-x_{s}^{2}\right)^{2}}, \quad H_{i j ; 0}\left(x_{s}\right)=\frac{2 i j\left(i^{2}+j^{2}\right)\left(1+(-1)^{i+j}\right)}{a^{2}\left(i^{2}-j^{2}\right)^{2}}, \\
& Q_{i j}\left(x_{s}\right)=Q_{i j ; 0}\left(x_{s}\right) \frac{a x_{s}}{a^{2}-x_{s}^{2}}, \quad Q_{i j ; 0}\left(x_{s}\right)=\frac{i j\left(1+(-1)^{i+j}\right)}{a\left(i^{2}-j^{2}\right)}, \quad j \neq i .
\end{aligned}
$$

The convergence of eigenenergy $\mathcal{E}_{t}$ vs number $\boldsymbol{j}_{\text {max }}$ of basis functions at $\gamma_{F}=0$.

Fast and slow variables $\boldsymbol{x}_{\boldsymbol{f}}=\boldsymbol{z}$ and $\boldsymbol{x}_{\boldsymbol{s}}=\boldsymbol{\rho}$ (oblate SQD and spherical QD), number of nodes $\boldsymbol{i}=\left(\boldsymbol{n}_{z o}=\boldsymbol{n}_{o}-\mathbf{1}, \boldsymbol{n}_{\rho o}\right)$, ${ }^{*}$ notes diagonal approximation at $\boldsymbol{j}=\mathbf{2}$

| $\boldsymbol{j}_{\max }$ | $a=\mathbf{2 . 5}, \boldsymbol{c}=\mathbf{0 . 5}$ |  |  | $\boldsymbol{a}=\mathbf{2 . 5}, \boldsymbol{c}=\mathbf{2 . 5}$ |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\boldsymbol{i}$ | $(0,0)$ | $(0,1)$ | $(2,0)$ | $(0,0)$ | $(0,1)$ | $(2,0)$ |
| C | 12.73741 | 19.93621 | $96.69683^{*}$ | 1.468496 | $5.445665^{*}$ | 5.589461 |
| 1 | 12.76548 | 20.04602 | $96.75317^{*}$ | 1.590238 | $5.766612^{*}$ | 6.004794 |
| 2 | 12.76490 | 20.04133 | 96.75427 | 1.580243 | 5.340214 | 6.329334 |
| 4 | 12.76482 | 20.04074 | 96.75215 | 1.579273 | 5.316872 | 6.317204 |
| 16 | 12.76481 | 20.04065 | 96.75201 | 1.579140 | 5.314832 | 6.316562 |
| Exact |  |  |  | 1.579136 | 5.314793 | 6.316546 |

Fast and slow variables $\boldsymbol{x}_{\boldsymbol{f}}=\boldsymbol{\rho}$ and $\boldsymbol{x}_{\boldsymbol{s}}=\boldsymbol{z}$ (prolate SQD and spherical QD), number of nodes $\boldsymbol{i}=\left(\boldsymbol{n}_{\rho \boldsymbol{p}}, \boldsymbol{n}_{\boldsymbol{z p}}\right)$, * notes diagonal approximation at $\boldsymbol{j}=\mathbf{2}$

| $\boldsymbol{j}_{\text {max }}$ | $\boldsymbol{c}=\mathbf{2 . 5}, \boldsymbol{a}=\mathbf{0 . 5}$ |  |  | $\boldsymbol{c}=\mathbf{2 . 5}, \boldsymbol{a}=\mathbf{2 . 5}$ |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\boldsymbol{i}$ | $(0,0)$ | $(0,2)$ | $(1,0)$ | $(0,0)$ | $(0,2)$ | $(1,0)$ |
| C | 25.18473 | 34.42885 | $126.4245^{*}$ | 1.493612 | 5.131784 | $5.898668^{*}$ |
| 1 | 25.20174 | 34.53030 | $126.4565^{*}$ | 1.584433 | 5.680831 | $6.071435^{*}$ |
| 2 | 25.20129 | 34.52578 | 126.4573 | 1.579860 | 5.331101 | 6.324717 |
| 4 | 25.20121 | 34.52512 | 126.4561 | 1.579239 | 5.316732 | 6.317058 |
| 16 | 25.20120 | 34.52502 | 126.4561 | 1.579138 | 5.314828 | 6.316554 |
| Exact |  |  |  | 1.579136 | 5.314793 | 6.316546 |

## The Lennard-Jones perturbation theory ${ }^{1}$ in nondiagonal adiabatic approximation

We expand the above effective potentials of the BVP for slow subsystem in Taylor series in a vicinity of $\boldsymbol{x}_{\boldsymbol{s}}=\mathbf{0}$ :

$$
\begin{array}{r}
E_{i}\left(x_{s}\right)=E_{i ; 0}+\sum_{k=1}^{k_{\max }} \frac{E_{i ; 0}}{\tau^{2 k}} x_{s}^{2 k}, \quad U_{i j}\left(x_{s}\right)=U_{i j ; 0}+\sum_{k=1}^{k_{\max }} \frac{\tilde{U}_{i j ; k}}{\tau^{2 k}} x_{s}^{2 k} \\
H_{i j}\left(x_{s}\right)=\sum_{k=1}^{k_{\max }} k \frac{H_{i j ; 0}}{\tau^{2 k}} x_{s}^{2 k}, Q_{i j}\left(x_{s}\right)=\sum_{k=1}^{k_{\max }} \frac{Q_{i j ; 0}}{\tau^{2 k-1}} x_{s}^{2 k-1}
\end{array}
$$

where $\tilde{\boldsymbol{U}}_{i j ; k}=\frac{(2 k-3)!!}{(2 k)!!} \boldsymbol{U}_{i j ; 0}$ and parameter $\tau$ equals $\tau=\boldsymbol{a}$ for OSQD, and $\tau=c$ for PSQD.

[^0]
## The Lennard-Jones perturbation theory

It leads to the BVP for a set of ODEs of slow subsystem with respect to the unknown vector functions $\chi_{t}\left(x_{s}\right)=\left(\chi_{1 ; t}\left(x_{s}\right), \ldots, \chi_{j_{\text {max } i t}}\left(x_{s}\right)\right)^{T}$ corresponded to unknown eigenvalues $2 \boldsymbol{E}_{\boldsymbol{t}} \equiv \mathcal{E}_{\boldsymbol{t}}$ :

$$
\begin{aligned}
& \left(\mathrm{D}^{(0)}+\left(E_{i ; 0}-\mathcal{E}_{t}\right)+\check{V}_{s}\left(x_{s}\right)+\sum_{k=1}^{k_{\max }} \frac{E_{i ; 0}+k H_{i i ; 0}}{\tau^{2 k}} x_{s}^{2 k}\right) \chi_{i ; t}\left(x_{s}\right) \\
& +\sum_{j \neq i}^{j_{\max }} \sum_{k=1}^{k_{\text {max }}}\left(\frac{\tilde{U}_{i j ; k}}{\tau^{2 k}} x_{s}^{2 k}+k \frac{H_{i j ; 0}}{\tau^{2 k}} x_{s}^{2 k}+(2 k-1) \frac{Q_{i j ; 0}}{\tau^{2 k-1}} x_{s}^{2 k-2}\right. \\
& \left.\quad+2 \frac{Q_{i j ; 0}}{\tau^{2 k-1}} x_{s}^{2 k-1} \frac{d}{d x_{s}}\right) \chi_{j ; t}\left(x_{s}\right)=0
\end{aligned}
$$

## Unperturbed operator of 2D oscillator

For the OSQD (2D oscillator) with respect to the scaled slow variable $\boldsymbol{x}: \boldsymbol{x}_{\boldsymbol{s}}=\boldsymbol{\rho}=\sqrt{\left.\boldsymbol{x} / \sqrt{\boldsymbol{E}_{\boldsymbol{f}}}\right)}$, where $E_{f}=\left(E_{i^{\prime} ; 0}+H_{i^{\prime} i^{\prime} ; 0}\right) /\left(4 a^{2}\right)=\omega_{i^{\prime}}^{2} / 4$, i.e. adiabatic frequency, at given $i^{\prime}=n_{o}$

$$
\begin{aligned}
& L(n)=\mathrm{D}^{(0)}-E^{(0)}, \quad \mathrm{D}^{(0)}=-\left(\frac{d}{d x} x \frac{d}{d x}-\frac{x}{4}-\frac{m^{2}}{4 x}\right) \\
& \quad E^{(0)} \equiv E_{n, m}^{(0)}=n+(|m|+1) / 2 \\
& \Phi_{q}^{(0)}(x)=\frac{\sqrt{q!} x^{|m| / 2} \exp (-x / 2) L_{q}^{|m|}(x)}{\sqrt{(q+|m|)!}} \\
& \quad \int_{0}^{\infty} \Phi_{q}^{(0)}(x) \Phi_{q^{\prime}}^{(0)}(x) d x=\delta_{q q^{\prime}} .
\end{aligned}
$$

## Unperturbed operator of 2D oscillator

Therefore action of operators $L(\boldsymbol{n})$ and $\boldsymbol{x}$ on function $\boldsymbol{\Phi}_{q}^{(0)}(x) \equiv \boldsymbol{\Phi}_{q, m}^{(0)}(x)$ is determined by recurrence relations

$$
\begin{aligned}
& L(n) \Phi_{q, m}^{(0)}(x)=(q-n) \Phi_{q, m}^{(0)}(x), \\
& x \Phi_{q, m}^{(0)}(x)=-\sqrt{q+|m|} \sqrt{q} \Phi_{q-1, m}^{(0)}(x)+ \\
& +(2 q+|m|+1) \Phi_{q, m}^{(0)}(x)-\sqrt{q+|m|+1} \sqrt{q+1} \Phi_{q+1, m}^{(0)}(x), \\
& x \frac{d \Phi_{q, m}^{(0)}(x)}{d x}=-\sqrt{q+|m|} \sqrt{q} \Phi_{q-1, m}^{(0)}(x) / 2 \\
& -\Phi_{q, m}^{(0)}(x) / 2+\sqrt{q+|m|+1} \sqrt{q+1} \Phi_{q+1, m}^{(0)}(x) / 2 .
\end{aligned}
$$

## Expansion of solution by normalized basis functions

Eigenfunctions with respect to new scaled variable $\boldsymbol{x}$ are sought in the form of expansion by normalized basis functions $\boldsymbol{\Phi}_{q}^{(0)}(x), q=0,1, \ldots$. of the two or one dimensional oscillators with unknown coefficients $\boldsymbol{b}_{\boldsymbol{j}, \boldsymbol{s}}$ :

$$
\begin{equation*}
\chi_{j ; t}(x)=\sum_{q=0}^{q_{\max }} b_{j, q ; t} \Phi_{q}^{(0)}(x), \quad b_{j, q<0 ; t}=b_{j, q>q_{\max ; t}}=0 . \tag{1}
\end{equation*}
$$

Substitution of expansion (1) leads to a set of equations

$$
\begin{array}{r}
\sum_{q=0}^{q_{\max }} \hat{\mathbf{A}}_{i i} b_{i, q ; t} \Phi_{q}^{(0)}(x)+\sum_{j \neq i=1}^{j_{\max }} \sum_{q=0}^{q_{\text {max }}} \hat{\mathbf{A}}_{i j} b_{j, q ; t} \Phi_{q}^{(0)}(x)=\sum_{q=0}^{q_{\text {max }}} \kappa^{-2} \mathcal{E}_{t} E_{f}^{-1 / 2} b_{i, q ; t} \Phi_{q}^{(0)}(x) \\
\hat{\mathbf{A}}_{i i}=\left(\mathrm{D}^{(0)}+\check{V}_{s}(x) E_{f}^{-3 / 4}+\kappa^{-2} E_{i ; 0} E_{f}^{-1 / 2}+\kappa^{-2} \sum_{k=1}^{k_{\text {max }}} \frac{E_{i ; 0}+k H_{i i ; 0}}{\tau^{2 k} E_{f}^{(k+1) / 2}} x^{2 k}\right) \\
\hat{\mathbf{A}}_{i j}=\kappa^{-2} \sum_{k=1}^{k_{\max }}\left(\frac{\tilde{U}_{i j ; k}+k H_{i j ; 0}}{\left.\tau^{2 k} E_{f}^{(k+1) / 2} x_{s}^{2 k}+\frac{Q_{i j ; 0}}{\tau^{2 k-1} E_{f}^{k / 2}}\left((2 k-1) x^{2 k-2}+2 x^{2 k-1} \frac{d}{d x}\right)\right)} .\right.
\end{array}
$$

where $\kappa=\mathbf{2}$ and $\check{\boldsymbol{V}}_{\boldsymbol{s}}\left(\boldsymbol{x}_{\boldsymbol{s}}\right)=\mathbf{0}$ for OSQD and $\kappa=\mathbf{1}$ and $\check{\boldsymbol{V}}_{\boldsymbol{s}}(\boldsymbol{x})=\boldsymbol{\gamma}_{\boldsymbol{F}} \boldsymbol{x}$ for PSQD.

## Algebraic eigenvalue problem

Applying above recurrence relations for action of a first derivative on basis function, we get expressions for action of operators $\hat{\mathbf{A}}_{\boldsymbol{i j}}$ :

$$
\hat{\mathbf{A}}_{i j} \Phi_{q}^{(0)}(x)=\sum_{q^{\prime}=0}^{q_{\max }} \alpha_{i j ; q q^{\prime}} \Phi_{q^{\prime}}^{(0)}(x)
$$

and therefore, algebraic eigenvalue problem with respect to unknowns $\boldsymbol{E}_{\boldsymbol{t}}$ and $\boldsymbol{b}_{\boldsymbol{j}, \boldsymbol{q} ; \boldsymbol{t}}$
$\sum_{q=0}^{q_{\text {max }}} \alpha_{i i ; q^{\prime} q} b_{i, q ; t}+\sum_{j \neq i=1}^{j_{\text {max }}} \sum_{q=0}^{q_{\text {max }}} \alpha_{i j ; q^{\prime} q} b_{j, q ; t}=\kappa^{-2} \mathcal{E}_{t} E_{f}^{-1 / 2} b_{i, q ; t}$.

## Algebraic eigenvalue problem

In matrix form it reads as

$$
\mathrm{AB}_{t}=\kappa^{-2} \mathcal{E}_{t} E_{f}^{-1 / 2} \mathrm{~B}_{t}, \quad \mathrm{~B}_{t^{\prime}}^{T} \mathrm{~B}_{t}=\delta_{t t^{\prime}}
$$

where $\mathrm{B}_{t}=\left(b_{1,0 ; t}, b_{1,1 ; t}, \ldots, b_{1, q_{\max } ; t}, b_{2,0 ; t}, \ldots, b_{j_{\max }, q_{\max } ; t}\right)^{T}$ is vector with dimension of $j_{\max }\left(q_{\max }+1\right)$ and $\mathbf{A}$ is positive defined symmetric matrix with dimension of
$\left(j_{\max }\left(q_{\text {max }}+1\right)\right) \times\left(j_{\text {max }}\left(q_{\text {max }}+1\right)\right)$ with elements $A_{\left(q_{\max }+1\right)(i-1)+q+1,\left(q_{\max }+1\right)(j-1)+q^{\prime}+1}=\alpha_{i j ; q q^{\prime}}$.

## Result

The convergence of eigenenergies $\mathcal{E}_{\boldsymbol{t}}$ of Eq. (2) vs order $\boldsymbol{k}_{\text {max }}$ of approximation of effective potentials from (1) for $j_{\max }=4$ and $\boldsymbol{q}_{\text {max }}=\mathbf{6 0}$ basis functions at $\gamma_{F}=\mathbf{0}$. Fast and slow variables $\boldsymbol{x}_{\boldsymbol{f}}=\rho$ and $\boldsymbol{x}_{\boldsymbol{s}}=\boldsymbol{z}$ (prolate SQD and spherical QD), number of nodes $i=\left(n_{\rho p}, n_{z p}\right)$.

| $\boldsymbol{k}_{\max }$ | $\boldsymbol{c}=\mathbf{2 . 5}, \boldsymbol{a}=\mathbf{0 . 5}$ |  |  | $\boldsymbol{c}=\mathbf{2 . 5}, \boldsymbol{a}=\mathbf{2 . 5}$ |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\boldsymbol{i}$ | $(0,0)$ | $(0,2)$ | $(1,0)$ | $(0,0)$ | $(0,2)$ | $(1,0)$ |
| 8 | 25.17914 | 34.07677 | 126.4459 | 1.471911 | 4.270174 | 5.614892 |
| 12 | 25.19962 | 34.46884 | 126.4560 | 1.536121 | 4.716984 | 6.188144 |
| 20 | 25.20116 | 34.52202 | 126.4561 | 1.563492 | 5.182198 | 6.266533 |
| $\mathrm{~N}(4)$ | 25.20121 | 34.52512 | 126.4561 | 1.579239 | 5.316732 | 6.317058 |

The same at $\gamma_{\boldsymbol{F}}=\mathbf{- 1 0}$.

| $\boldsymbol{k}_{\max }$ | $\boldsymbol{c}=\mathbf{2 . 5}, \boldsymbol{a}=\mathbf{0 . 5}, \boldsymbol{\gamma}_{\boldsymbol{F}}=\mathbf{- 1 0}$ |  | $\boldsymbol{c}=\mathbf{2 . 5}, \boldsymbol{a}=\mathbf{2 . 5}, \boldsymbol{\gamma}_{\boldsymbol{F}}=\mathbf{- 1 0}$ |  |  |  |
| :--- | :--- | :--- | :--- | :--- | :--- | :--- |
| $\boldsymbol{i}$ | $(0,0)$ | $(0,2)$ | $(1,0)$ | $(0,0)$ | $(0,2)$ | $(1,0)$ |
| 8 | 20.22165 | 30.91336 | 125.3062 | -19.67398 | -5.378707 | -1.784110 |
| 12 | 20.60733 | 32.37540 | 125.3316 | -15.34850 | -6.881266 | -2.605091 |
| 20 | 20.65846 | 32.67445 | 125.3322 | -12.19445 | -2.204160 | -1.336853 |
| $\mathrm{~N}(\mathbf{4})$ | 20.66203 | 32.70877 | 125.3322 | -10.84402 | -1.511063 | 1.129039 |

## Spectrum of electronic states of QDs vs electric field



Dependence of eigenenergies $\mathcal{E}$ (in units of $\boldsymbol{E}_{e}$ ) of lower part of spectrum of electronic states of QDs at $\boldsymbol{m}=\mathbf{0}$ on electric field strength $\boldsymbol{\gamma}_{\boldsymbol{F}}$ (in units of $\boldsymbol{F}_{\mathbf{0}}^{*}$ ): for spherical quantum dot (SQD) with radius $a=c=\mathbf{2 . 5}$, oblate and prolate spheroidal quantum dots (OSQD and PSQD) at different minor semiaxis (for OSQD $\boldsymbol{c}=\mathbf{0 . 5}, \mathbf{1}, \mathbf{1 . 5}, \mathbf{2}$, $a=\mathbf{2 . 5}$, for PSQD $\boldsymbol{c}=\mathbf{2 . 5}, a=\mathbf{0 . 5}, \mathbf{1}, \mathbf{1 . 5}, \mathbf{2}$ ).

## Absorption coefficient of inter-band transitions in QDs





Absorption coefficient $\boldsymbol{K} / \boldsymbol{K}_{\mathbf{0}}$ consists of sum of the first partial contributions vs the energy $\boldsymbol{\lambda}=\boldsymbol{\lambda}_{\mathbf{1}}$ of the optic interband transitions for the Lifshits-Slezov distribution by using functions $f_{\nu, \nu^{\prime}}^{h-e}(u)$ for GaAs ( $h \rightarrow e$ ): (left panels) for assemble of OSQDs $\bar{c}=\mathbf{0 . 5}, \boldsymbol{a}=\mathbf{2 . 5}$; (right panels) for assemble of PSQDs $\overline{\boldsymbol{a}}=\mathbf{0 . 5}, \boldsymbol{c}=\mathbf{2 . 5}$ in presence of electric field $\gamma_{F}=10$ and $\gamma_{F}=\mathbf{1}$ (solid lines on lower panels) and without electric field $\gamma_{\boldsymbol{F}}=\mathbf{0}$ (Upper panels and dashed line on lower panels).

## Conclusion

- Symbolic-numerical algorithms for solving the BVPs are developed and elaborated in a problem-oriented complex of programs, now available via the Computer Physics Communication Library.
- The revealed difference in the spectra and the absorption coefficients allows verification of OSQD and PSQD models using the experimental data, e.g., photo-absorption coefficient and conductivity, from which not only the energy level spacing, but also the mean geometric dimensions of QDs can be estimated.
- The adiabatic approximations implemented in the both numerical and analytic forms can be applied also to treat a lower part of spectra of models of deformed nuclei.
- The results are also important for the experimental study of low-energy nuclear reactions of channeling ions in thin films and crystals by using elaborated Symbolic-Numerical Algorithms and Programs.


[^0]:    ${ }^{1}$ N. Mott and I. Sneddon, Wave Mechanics and its Applications (Clarendon, Oxford, 1948).

